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Antiviral, immunomodulatory, and anticoagulant effects of quercetin and its derivatives: Potential role in prevention and management of COVID-19

Souparnika H. Manjunath, Rajesh K. Thimmulappa

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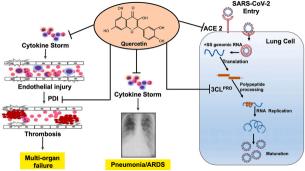
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1 Antiviral, immunomodulatory, and anticoagulant effects of quercetin and its

2 derivatives: potential role in prevention and management of COVID-19

- 3 Souparnika H. Manjunath, Rajesh K. Thimmulappa *
- 4 Department of Biochemistry, Jagadguru Sri Shivarathreeshwara Medical College, Jagadguru
- 5 Sri Shivarathreeshwara Academy of Higher Education & Research (JSSAHER), Mysore,
- 6 570015, India
- 7 *Corresponding author:
- 8 Dr. Rajesh K. Thimmulappa
- 9 E-mail address: rajeshkt@jssuni.edu.in

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Abstract

- 12 The coronavirus disease 2019 (COVID-19) pandemic caused by severe acute respiratory
- 13 syndrome coronavirus 2 (SARS-CoV-2) infection has caused a devastating health crisis
- worldwide. In this review, we have discussed that prophylactic phytochemical guercetin
- 15 supplementation in the form of foods or nutraceuticals may help manage the COVID-19
- pandemic. The following evidence supports our argument: first, nuclear factor erythroid-derived
- 17 2-like 2 (NRF2) agonists abrogated replication of SARS-CoV-2 in lung cells, and quercetin is a
- 18 potent NRF2 agonist; second, quercetin exerts antiviral activity against several zoonotic
- 19 coronaviruses, including SARS-CoV-2, mainly by inhibiting the entry of virions into host cells;
- 20 third, inflammatory pathways activated by nuclear factor kappa B (NF-κB), inflammasome, and
- 21 interleukin-6 (IL-6) signals elicit cytokine release syndrome that promotes acute respiratory
- distress syndrome in patients with COVID-19, and quercetin inhibits these pro-inflammatory
- signals; fourth, patients with COVID-19 develop thrombosis, and quercetin mitigates coagulation
- 24 abnormalities by inhibiting plasma protein disulfide isomerase. This review provides a strong
- 25 rationale for testing quercetin for the management of COVID-19.
- 26 Keywords: COVID-19, Quercetin, immunomodulation, Cytokine Response Syndrome, NRF2,
- 27 Antiviral

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1. Introduction

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Coronavirus disease-2019 (COVID-19) caused by severe active respiratory syndrome (SARS) coronavirus 2 (SARS-CoV-2) infection has caused an unprecedented global health crisis and economic loss. As of August 7, 2021, over 201 million people were infected with SARS-CoV-2, and 4.2 million people died worldwide [1]. The common symptoms recorded among patients with non-severe COVID-19 include fever, fatigue, dry cough, sputum production, headache, and dyspnea associated with mild unilateral or bilateral pneumonia. Meanwhile, patients with severe COVID-19 disease are associated with pneumonia, acute respiratory distress syndrome (ARDS), metabolic acidosis, thromboembolism, multiple organ failure, and acute cardiac injury [2,3], which are common factors contributing to death. Although SARS-CoV-2 has affected every age group, from newborn to children to adults, severe morbidity and mortality are commonly observed in the elderly population aged > 60 years and people with comorbidities, such as hypertension, diabetes, cardiovascular disease, and renal disease.

Currently, the treatment modalities for hospitalized patients with COVID-19 involve supportive care, such as supplemental oxygen therapy and mechanical ventilation, and there are no Food and Drug Administration (FDA)-approved drugs for COVID-19 treatment. Nonetheless, few FDA-approved drugs are being repurposed for COVID-19 management; however, the outcomes have been mixed. During the early phase of the pandemic, the antimalarial drug hydroxychloroquine and anti-human immunodeficiency virus (HIV) drug lopinavir/ritonavir were repurposed for the treatment of COVID-19; however, the trials were discontinued by the World Health Organization (WHO) as there were no significant clinical benefits between the repurposed drug and standard of care. Remdesivir, a small molecule inhibitor of viral RNAdependent RNA polymerase used initially against the Ebola virus, has been administered at the bedside for the management of patients with COVID-19, and the results were promising. Remdesivir did not lower the mortality of hospitalized patients with COVID-19; however, it shortened the recovery time of severely ill patients compared to patients who were receiving standard care of treatment [4]. A more recent success story was from the clinical trials of dexamethasone, a glucocorticoid drug, which dampens host inflammatory responses. Dexamethasone treatment significantly lowered 28 day mortality among mechanically ventilated patients with COVID-19 compared to placebo [5]. Based on the knowledge gained from a mechanistic understanding of COVID-19 pathogenesis and big-data analysis using artificial intelligence, several approved drugs are being repositioned for COVID-19 treatment [6]. In addition, intense efforts are in progress to develop an effective vaccine. Fortunately, a number of vaccines have been effective in eliciting immune response against SARS-CoV-2. Mass vaccination is the most effective way of preventing transmission; however, it is premature to assume that the first-generation of the vaccine will be effective in reducing the infection and transmission of COVID-19. First, the efficacy of the currently available COVID-19 vaccines

varies from 50% to 90% [7] Second, SARS-CoV-2 has been mutating. Whether the first-generation vaccine, especially those designed against the viral spike protein, will be effective against new variants of SARS-CoV-2, such as B.1.1.7 (United Kingdom), B.1.351 (South Africa), and B.1.617 (India), remains unclear. Third, there is an insufficient supply of vaccines and inadequate resources to vaccinate a large population in low middle-income countries. Therefore, it may take at least two to three years before a large percentage of the global population is vaccinated to achieve complete protection from SARS-CoV-2 infections. Fourth, recent reports of adverse events in first-generation vaccines, especially in people older than 80 years, have dampened the public's motivation for vaccination. Hence, it is imperative to continue searching for chemoprotective agent(s) to protect the general population and high-risk groups from SARS-CoV-2 infection.

Although no systematic randomized control trials have been conducted to elucidate the benefits of herbal medicine on patients with COVID-19, several studies emanated mainly from China have reported intake of herbal medicine by patients with COVID-19 with the standard of care [8,9]. Furthermore, researchers have carried out in silico analysis of natural product libraries to identify potential antiviral phytochemical(s) against SARS-CoV-2 [10]. Quercetin, a flavonoid present in many fruits and vegetables, has been identified as a promising candidate that exhibits a broad-spectrum antiviral activity. In silico data suggest that quercetin interacts with SARS-CoV-2 spike protein and main proteases, and it may be useful in inactivating or killing SARS-CoV-2 [11,12]. In this review, we have integrated the latest and established evidences on quercetin and postulated that it confers prophylactic and therapeutic benefits in the management of COVID-19. We have built a strong case for the plausible use of quercetin or its derivatives as chemoprotective nutraceutical agents for the protection of the general public from SARS-CoV-2 infection and management of patients with COVID-19.

2. Structure and forms of quercetin

Quercetin is a pentahydroxyflavone with hydroxy groups at the 3-, 3'-, 4'-, 4, and 7-positions (Fig. 1), and it belongs to the flavonol subclass of flavonoids. Quercetin is an aglycone; however, it is usually conjugated to simple sugars (i.e., glucose, xylose, rhamnose, arabinose, or galactose) or disaccharide (e.g., rutinose) at the 3-position in plants (Fig. 1). In onions, quercetin is attached to the glucose moiety and forms quercetin-3-*O*-glucoside (isoquercetin) [13], whereas in apple and tea, quercetin is conjugated to rutinose to form quercetin-3-*O*-rutinoside (rutin) [14]. Researchers have utilized either aglycones or the *O*-glycoside form of quercetin to assess its biological activity.

3. Anti-viral effects of quercetin against zoonotic coronaviruses, including SARS-CoV-2, and the underlying mechanisms

By integrating established evidence derived from experimental model systems using Middle East respiratory syndrome coronavirus (MERS-CoV), SARS-CoV, and the new knowledge related to the immunopathogenesis of COVID-19, we have highlighted three main antiviral mechanisms by which quercetin may inhibit SARS-CoV-2 infection.

- 3.1 Quercetin may repress SARS-CoV-2 replication by activating nuclear factor erythroidderived 2-like 2 (NRF2)
- 107 NRF2 transcriptionally upregulates a network of cytoprotective genes, including antioxidant 108 genes, such as genes encoding for glutathione biosynthesis, heme oxygenase-1, and several other 109 antioxidant proteins. In normal cells, NRF2 held in the cytoplasm by an adaptor protein, Kelch-110 like ECH-associated protein 1 (KEAP1), which is in turn associated with Cullin3 containing E3 111 (Cul3-E3)-ubiquitin ligase. The KEAP1-Cul3-E3-ubiquitin ligase complex ubiquitinates and 112 targets NRF2 for proteasomal degradation. During oxidative stress, reactive oxygen species or 113 electrophiles modify critical cysteine residues in the BTB and kelch domain of KEAP1, which 114 results in the dislocation of NRF2 from the KEAP1/Cul3-E3-ubiquitin ligase complex and 115 translocation into the nucleus. Inside the nucleus, NRF2 binds to a conserved motif called 116 "antioxidant responses element" and transactivates target gene expression. Most small-molecule 117 inducers stabilize NRF2 by disrupting the NRF2-KEAP1 interaction [15]. Olagnier et al. [16] 118 reported that the NRF2 agonist, 4-octyl-itaconate, inhibited SARS-CoV-2 replication in a variety 119 of human lung epithelial cells, such as Calu3 (cancer cell line), Nuli (immortalized human 120 airway epithelial cells), and primary human airway epithelial cells. To further confirm this 121 observation, the authors used dimethylfumarate, another NRF2 agonist and an FDA-approved 122 drug for the treatment of multiple sclerosis and demonstrated that dimethylfumarate also 123 terminates SARS-CoV-2 replication. This antiviral response against SARS-CoV-2 elicited by 124 NRF2 activation was not restricted to only chemical inducers; activation of NRF2 by ablating 125 KEAP1 using KEAP1 siRNA was also influential in limiting viral replication. The same 126 investigators [16] analyzed the publicly available transcriptomic profile of lung biopsy samples 127 of patients with COVID-19 and discovered that the NRF2 pathway is repressed. The study 128 concluded that NRF2 activation elicits antiviral responses against SARS-CoV-2 and is 129 independent of the chemical nature of pharmacological inducers. Several studies have reported 130 quercetin to be a potent NRF2 agonist [16,17]. Quercetin treatment stabilizes NRF2 by 131 modifying KEAP1, thereby inhibiting NRF2 ubiquitination and proteasomal degradation [18]. Dietary feeding of guercetin in mouse models activated the NRF2 pathway in the lungs, as 132 133 indicated by the expression of NRF2 regulated genes [19], and protected from bleomycin-134 induced lung fibrosis. Quercetin supplementation also protected other organ injuries, such as 135 kidney injury, in an NRF2-dependent manner [20]. Considering this new evidence, we argue that 136 quercetin supplementation may attenuate SARS-CoV-2 replication through the activation of NRF2 (Fig. 2). 137

3.2 Quercetin inhibits 3C-like proteases

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The 3C-like proteases (3CL^{pro}; also referred as the main protease) and papain-like protease (PL^{pro}) are highly conserved among human coronaviruses and are attractive drug targets. Following coronavirus infection, 3CL^{pro} and PL^{pro} are translated and activated, which then cleave

- the polyproteins pp1a and pp1ab into various non-structural proteins, including RNA-dependent RNA polymerase, which is essential for viral replication. Computational and experimental studies have revealed that quercetin-3-β-D-glucoside inhibits MERS-CoV 3CL^{pro} enzyme activity [21]. Nguyen et al. [22] demonstrated that quercetin inhibits the enzymatic activity of recombinant SARS-CoV 3CL^{pro} by up to 80%. More recently, Abian et al. [23] reported that quercetin inhibits SARS-CoV-2 3CL^{pro} enzyme activity by destabilizing its structure. Studies on the structure-activity relationship revealed that quercetin inhibitory activity against 3CL pro is related to carbon 3'-substituted with hydroxide [24]. In summary, the accumulated evidence suggests that quercetin or its analogs inhibit 3CL^{pro} activity, which is vital in the replication of coronaviruses, including SARS-CoV-2.
- 3.3 Quercetin interacts with viral and host cell surface proteins and may block coronavirus entry
 into host cells
 - Yi et al. [25] designed HIV-luciferase/SARS-CoV pseudotyped virus that expresses luciferase enzyme and used it as a tool for detecting the entry and replication of the virus in Vero cells. By measuring luciferase expression in Vero cells post-infection, the authors demonstrated that incubation of HIV-luciferase/SARS pseudotyped virus with quercetin inhibited its entry into Vero cells and concluded that quercetin might hinder the entry of SARS-CoV into host cells, possibly by altering surface proteins. There is a high degree of similarity (74%) in the protein sequences of the spike proteins between SARS-CoV and SARS-CoV-2 [26]. Molecular docking studies indicated that quercetin could potentially interact with the Asp38 residue of the angiotensin-converting enzyme 2 (ACE2) receptor and prevent the attachment of SARS-CoV-2 to the host cell membrane [27]. Hence, it is likely that quercetin may inhibit SARS-COV-2 infection by blocking its entry into lung cells by altering the viral spike protein or host ACE2.

4. Quercetin exerts broad-spectrum antiviral activity against common respiratory viruses by blocking viral infectivity

- To further strengthen the concept of quercetin as a promising antiviral agent for managing COVID-19, we expanded our review on the antiviral effects of quercetin on common respiratory viruses. The evidence suggests that quercetin exerts antiviral activity primarily by preventing viral entry into target host cells by modifying the virion surface proteins.
- 171 4.1 Influenza A Virus (IAV)

The antiviral activity of quercetin and its derivatives against IAV is driven by direct and indirect mechanisms. Glycoprotein hemagglutinin (HA) is the IAV envelope protein that helps in viral entry, by binding to the target host cell first and then helping with endosomal fusion.

Quercetin binds to HA and inhibits IAV entry into host cells by disrupting HA-mediated

- membrane fusion events [28]. The quercetin-3-glucoside has also been reported to block IAV
- 177 replication by inhibiting polymerase protein-2 [29]. Choi et al. [30] also reported the antiviral
- activity of quercetin-3-rhamnoside against IAV and subsequently demonstrated that prophylactic
- oral administration of quercetin-3-rhamnoside markedly decreased the viral titer in the lungs and
- significantly reduced the illness and mortality in IAV-infected mice [31].
- 181 *4.2 Respiratory syncytial virus (RSV)*
- A large body of evidence has confirmed the antiviral effects of quercetin and its derivatives
- against RSV. The two glycoproteins, G and F present on the surface of RSV lipid envelope
- mediates adhesion, fusion, and entry of virions into the host cell. Lopes et al. [32] reported the
- viricidal activity of quercetin pentaacetate against RSV and demonstrated that the compound
- interacts with RSV surface glycoprotein F, which prevents viral adhesion to the host cells. More
- recently, Machado et al. [33] reported that quercetin binds to RSV glycoprotein G and blocks
- 188 RSV entry into the host cell. Together, these evidences suggest that quercetin blocks RSV
- infectivity.
- 190 *4.3 Rhinovirus (RV)*
- RV infection is frequently associated with common cold and, more importantly, viral
- exacerbations in patients with asthma and chronic obstructive pulmonary disease (COPD).
- 193 Quercetin 7-glucoside inhibited viral replication by affecting the early stages of human
- 194 rhinovirus 2 (RV-2) infection in HeLa cells [34]. Ganesan et al. [35] reported that quercetin
- treatment suppressed RV replication in cultured airway epithelial cells by inhibiting endocytosis.
- The same study reported that oral quercetin supplementation in mice two-hour post RV infection
- markedly decreased viral load in the lungs, which correlated with lower pro-inflammatory
- 198 cytokine and interferon levels. Quercetin treatment also reduced airway hyperresponsiveness
- induced by RV-2 infection in a mouse model. In another study, the same authors demonstrated
- 200 that supplementation of 0.1% quercetin mixed in the diet of a cigarette smoke-exposed mouse
- 201 model of COPD reduced exacerbation of lung inflammation and expression of pro-inflammatory
- 202 cytokines caused by RV infection [36].
- 5. Quercetin functions as an immunomodulator and may dampen the progression of acute respiratory distress syndrome (ARDS) in patients with COVID-19
- 205 5.1 Quercetin inhibits nuclear factor kappa B (NF-κB), inflammasome, and interleukin (IL)-6-
- 206 driven cytokine release syndrome
- The host immune response to SARS-CoV-2 is a critical determinant of the clinical
- symptoms, morbidity, and severity of COVID-19. Long et al. [37] analyzed a panel of circulating

inflammatory markers in patients with or without COVID-19 symptoms and reported that asymptomatic patients were associated with markedly lower levels of cytokines, namely TRAIL, M-CSF, IL-6, IL-2, IL-10, MCP-1, IL-8, IL-18, IFN-γ, and G-CSF, compared to symptomatic patients. Similarly, Lucas et al. [38] observed that moderately sick patients with COVID-19 showed lower inflammatory responses than severely ill patients, as indicated by lower circulating levels of inflammatory mediators (IL-6, IL-1α, IL1-β, TNF-α, IL-18, IFN-γ, and CCL-1). Elevated levels of these inflammatory mediators during early infection are positively correlated with poor survival in patients with COVID-19 [38]. In contrast, viral RNA load levels were comparable between mildly and severely ill patients with COVID-19 during the first 10 days after infection. However, it steadily declined in moderately ill patients but not in severely ill patients [38]. The insights from the inflammatory cytokine profile data in patients with COVID-19 suggest that the cytokine response syndrome (CRS) is largely driven by activation of NF-κB, inflammasome, and IL-6 signals [39-42].

A large body of evidence suggests that quercetin is effective in diminishing NF-κB, inflammasome, and IL-6 signaling. Quercetin pretreatment dampened NF-κB-mediated expression of cytokines, including IL-6, in various cell types [43-45]. In a mouse model of acute lung injury (ALI), quercetin administration significantly attenuated lipopolysaccharide (LPS)-induced pulmonary inflammation, as indicated by lower levels of neutrophil and lymphocyte infiltrates, decreased cytokine levels in the lungs, and improved survival [46] compared to the placebo group. Similarly, quercetin administration significantly suppressed ALI in an IAV-infected mouse model [47]. It also inhibits the activation of the NLRP3 inflammasome and secretion of IL-1α and IL-18 [48]. Quercetin pretreatment inhibited LPS-induced IL-6 secretion in neutrophils [49] and dendritic cells [50], as well as ameliorated IL-6 activated STAT3 signals [51-53]. As depicted in Fig. 2, the accumulated data suggest that quercetin is a promising immunomodulator that may dampen inflammation in patients with COVID-19 and prevent the development of ARDS.

5.2 Quercetin activates NRF2 pathway that mitigates cytokine release syndrome and causes acute lung injury/ARDS

Previously, using a mouse model of sepsis, we have reported that deficiency of the NRF2 pathway promotes CRS and worsens survival, whereas activation of the NRF2 pathway dampens CRS and improves survival [54-56]. Mechanistic evidence suggests that NRF2 downregulates inflammation by abolishing the transcriptional expression of pro-inflammatory cytokines regulated by NF-κB [54-56]. Hence, NRF2 inducers could be potent immunomodulators for the mitigation of SARS-CoV-2 pathogenesis (Figure 2). It is conceivable that prophylactic supplementation of quercetin may protect against SARS-CoV-2 infection and help in the management of COVID-19 treatment through activation of the NRF2 pathway.

6. Quercetin inhibits protein disulfide isomerase (PDI) and may mitigate coagulation abnormalities associated with patients with COVID-19

It is postulated that in hospitalized patients with COVID-19, CRS induced by SARS-CoV-2 infection triggers systemic inflammatory responses, which cause vascular injury and abnormal coagulation, recapitulating the characteristic features of sepsis-associated disseminated intravascular coagulation [57]. Many studies have reported thrombotic events in hospitalized patients with COVID-19 [58]. The mortality rate was higher in patients COVID-19 with thrombotic events [59], and the circulating levels of D-dimer were positively correlated with the severity of illness [60]. This emerging evidence has led to a consensus that therapeutics to prevent the development of coagulation abnormalities may improve the outcomes of patients with COVID-19 [61]. Quercetin is a potent inhibitor of PDI [62], an enzyme implicated in platelet-mediated thrombin formation at the site of vascular injury. In a multicenter phase II trial, administration of 1 g isoquercetin/day for 56 days to cancer patients reported a significant decrease in D-dimer, P-selectin, and platelet-dependent fibrin generation compared to placebo [63], indicating that isoquercetin supplementation protects against hypercoagulability in cancer patients. It is plausible that quercetin supplementation in high-risk patients with morbidities, such as diabetes or cardiovascular diseases, may help in reducing coagulation abnormalities following SARS-CoV-2 infection.

7. Clinical trials of quercetin for disease intervention

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Most of the clinical trials carried out on quercetin have been shown to be safe, and the FDA has categorized quercetin as "generally recognized as safe." According to a database [64], 12 clinical trials on quercetin or its derivatives have been conducted in patients with COVID-19. The interim results from one of the randomized clinical trials (RCT) revealed that quercetin supplementation enhanced viral clearance and partially reduced the clinical symptoms posttreatment [65]. In a phase 1 clinical trial, 30 patients with hepatitis C virus infection were supplemented with various quercetin doses ranging from 250 to 5 g/day for 28 days in juice or water [66]. Ouercetin was well-tolerated by the participants even at the highest dose of 5 g/day, and the median plasma concentration was 2.25 mg/L at week 4. Although there was no correlation between plasma quercetin levels and viral load, the viral load was decreased in at least a few patients [67]. In another pilot study, quercetin was found to decrease upper respiratory tract infection (URTI) among cyclists. The study found that intake of 1 g quercetin/day for two weeks reduced self-reported URTI among cyclists. Later, in a 12-week RCT involving 1,002 participants, quercetin intake showed no significant effects on the incidence of URTI, although the study reported a reduction in the number of sick days and severity associated with URTI among cyclists aged > 40 years [67]. More recently, a randomized safety trial was conducted in COPD patients concluded that quercetin doses up to 2000 mg/day

- for 1 week were well tolerated by patients with no adverse events [68]. Isoquercitrin, a water-
- 282 soluble form of quercetin, was found to improve endothelial function in high-risk adult
- participants with cardiovascular disease [69].

8. Conclusions

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We have highlighted the plausible mechanisms underlying how quercetin may help in the management of COVID-19. The mechanisms include: i) protection from SARS-CoV-2 infection by impeding viral entry into host cells by modifying viral spike protein or ACE2, repressing replication inside the cells by inhibiting 3C-like proteases, or activating the NRF2 pathway; ii) protection from the development of ARDS by diminishing CRS elicited by NF-κB, inflammasome, or IL-6 signals; and iii) mitigation of thrombotic events by inhibiting PDI. We have also discussed that frequent consumption of quercetin-rich foods (such as onion) could elevate plasma quercetin levels to the desired minimal effective concentrations for attaining the clinical benefits of quercetin. Based on the evidence discussed, further studies are warranted to evaluate whether consumption of quercetin-rich foods or nutraceuticals protects the high-risk population from SARS-CoV-2 infection and manages COVID-19.

Declaration of competing Interest:

The authors declare that there are no conflicts of interest.

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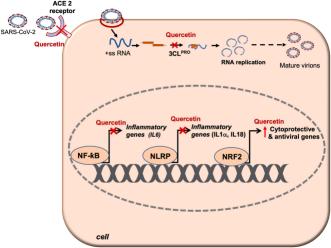
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Figure Captions

- Fig. 1. Structure of quercetin and its natural derivatives.
- 480 Fig. 2. Schematics depicting plausible underlying mechanisms how quercetin may abrogate
- 481 SARS-CoV-2 infection and mitigate pathogenesis of COVID-19. ACE-2: angiotensin converting
- 482 enzyme-2; 3CL^{pro}: 3C-like proteases; NF-κB: nuclear factor kappa B; NLRP: nucleotide-binding
- oligomerization domain, leucine rich repeat and pyrin domain containing protein; NRF2: nuclear
- 484 factor erythroid-derived 2-like 2.



Highlights:

- Quercetin may inhibit SARS-CoV-2 entry into cells by altering viral envelope proteins
- Quercetin may inhibit SARS-CoV-2 replication by activating the NRF2 pathway
- Quercetin attenuates proinflammatory signals and cytokine release syndrome
- Quercetin may reduce coagulopathy by inhibiting protein disulphide isomerase